PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

	Complete if Known	
Application Number	09/785,501	
Filing Date	February 20, 2001	
First Named Inventor	Tomokazu KOMAZAKI	
Examiner Name	Barbara Summons	
Group / Art Unit	2817	
Attorney Docket No.	32011-169878	

		METH	OD OF P	AYMENT (check or	ne)					FEE C	ALCULATION (continued)	
	•			ioner is hereby author		arge	3. ADD	ITIONAL	FEES			
1. ⊠				and credit any over				Large Entity		Small Entity		
Depo							Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Acco Num		22-0	261				1051	130	2051	65	Surcharge - late filing fee or oath	
							1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Depo Acco							1053	130	1053	130	Non-English specification	
Nam							1812	2,520	1812	2,520	For filing a request for reexamination	
	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						1804	920*	1804	920°	Requesting publication of SIR prior to Examiner action	
	Applicant See 37 C	FR 1.27		y status.			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
2. 🖾	Paymer	nt Enclo	sed:				1251	110	2215	55	Extension for reply within first month	
⊠	Check	□ Cr	edit card	☐ Money	☐ Other		1252	420	2252	210	Extension for reply within second month	
				Order			1253	950	2253	475	Extension for reply within third month	
1. E	BASIC FIL	ING FE		ALCULATION			1254	1,480	2254	740	Extension for reply within fourth month	
Large	Entity :	Small	Entity				1255	2010	2255	1005	Extension for reply within fifth month	
Fee	Fee I	Fee	Fee	Fee Description			1401	330	2401	165	Notice of Appeal	
Code	,	Code	(\$)		Fee	e Paid	1402	330	2402	165	Filing a brief in support of an appeal	
1001		2001	385	Utility filing fee			1403	290	2403	145	Request for oral hearing	
1002 1003		2002 2003	170 265	Design filing fee Plant filing fee			1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1004	770	2004	385	Reissue filing fee			1452	110	2452	55	Petition to revive – unavoidable	
1005	160	2005	80	Provisional filling fee	е		1453	1,330	2453	665	Petition to revive – unintentional	
							1501	1,330	2501	665	Utility issue fee (or reissue)	
		SI	UBTOTA	L (1)	(\$)	0.00	1502	480	2502	240	Design issue fee	
				_ (· /	L_747	0.00	1503	640	25403	320	Plant issue fee	
2. EXTF	RA CLAIN	1 FEES					1460	130	1460	130	Petitions to the Commissioner	
				Extra Fee		Fee	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Total Clain		<u></u> -	** =	Claims below	<u> </u>	Paid 0	1808	180	1808	180	Submission of Information Disclosure Stmt	180.00
Independer Claims Multiple	at		** =	o x	=	0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Dependent	F-414	C11	F414	x	= [0	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
Large Fee Code	Fee (\$)	Small Fee Code	Entit Fee (\$)	y Fee Description	ı		1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1202	18	2202	9	Claims in excess	of 20		4904	77^	2024	205		
1201	86	2201	43	Independent clair		s of 3	1801	770	2801	385	Request for Continued Examination (RCE)	
1203	290	2204	145	•			1802	900	1802	900	Request for expedited examination of a design application	
1204	86	2204	43		** Reissue independent claims over					or a design application		
1205	18	2205	9	** Reissue claims over original pate		of 20 and	Other fe	e (specify	y)	-		
			s	UBTOTAL (2)	\$) 0.00		*Reduc	ed by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 180	.00
**or num	har aravia	ichi poid	if arouto	For Reissues see al	hava					_	L'.	

SUBMITTED BY		Co	omplete (if applicable)	
Name (Print/Type)	James R. Burdett	Registration No. Attorney/Agant) 31,594	Telephone	(202) 344-4000
Signature	A.	allyulated	Date	March 17, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tomokazu KOMAZAKI

Application No.:

09/785,501

Confirmation No.:

9075

Filed: February 20, 2001

For: BRANCHING FILTER

Art Unit:

2817

Examiner:

Barbara Summons

Atty. Docket No.:

32011-169878

Customer No.:

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(c)(2).

In order to comply with applicant's duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of the listed documents is submitted herewith.

The instant Information Disclosure Statement is being filed after the mailing date of a first Office action on the merits, but before receipt of an action that closes prosecution in the application. Accordingly, pursuant to 37 C.F.R. §1.97(c)(2), a fee of \$180.00 is attached.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

If no check is attached, please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Respectfully submitted,

Date: 3/(7/2)

annes R. Burdett

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∜enable LLP P.O. Box 34385

Washington, D.C. 20043-9998

Telephone: (202) 344-4000 Telefax: (202) 344-8300 MAD 1 7 2004 #

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

*0	Complete if Known	
Application Number	09/785,501	
Filing Date	February 20, 2001	
First Named Inventor	Tomokazu KOMAZAKI	
Group Art Unit	2817	
Examiner Name	Barbara Summons	
Attorney Docket Number	32011-169878	

	U.S. PATENT DOCUMENTS								
Examiner Initials * Cite No.1		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	1	6,650,205	B2	Goetz et al.	11-18-2003				
	2	6,236,291	BI	Sonoda et al.	05-22-2001				
	3	6,150,900		Kadota et al.	11-21-2000				
	4	5,666,091		Hikita et al.	09-09-1997				
	5	5,365,209		Ito et al.	11-15-1994				
					·				

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	For	eign Patent De	ocument	Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Τ ₆
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Examiner	Date	
Signature	Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.